



## **NORTHEAST OHIO AREAWIDE COORDINATING AGENCY M E M O R A N D U M**

**TO: Finance and Audit Committee Members**

Michael Dylan Brennan, Mayor, City of University Heights  
Armond Budish, County Executive, Cuyahoga County  
Michael Dever, Director of Public Works, Cuyahoga County  
James W. Dvorak, Commissioner, Geauga County  
William D. Friedman, President and CEO, Cleveland-Cuyahoga County Port Authority  
Kirsten Holzheimer Gail, Mayor, City of Euclid  
Michael D. Gammella, Mayor, City of Brook Park  
James R. Gills, P.E., P.S., Lake County Engineer  
John D. Hunter, Mayor, Sheffield Village  
William F. Hutson, Commissioner, Medina County  
Joseph Koziura, Mayor, City of Lorain  
Valarie J. McCall, Chief, Communications, Government & International Affairs,  
City of Cleveland

**FROM:** Kirsten Holzheimer Gail, Chair

**DATE:** November 1, 2019

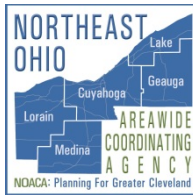
**RE: Finance and Audit Committee  
Friday, November 8 from 8:30 a.m. to 10:00 a.m.**

**NOACA Offices  
1299 Superior Avenue, Cleveland, Ohio**

Please note that in addition to the members listed above, any interested Board members are welcome to attend.

I look forward to seeing you on **Friday, November 8<sup>th</sup> at 8:30 a.m. at the NOACA offices.**





**NORTHEAST OHIO AREA WIDE COORDINATING AGENCY**  
**Friday, November 8, 2019, 8:30 a.m. – 10:00 a.m.**  
**NOACA Offices**  
**1299 Superior Avenue, Cleveland, Ohio 44114**

**FINANCE AND AUDIT COMMITTEE AGENDA**

**Page**

- |  |      |
|--|------|
| 1. Minutes of August 23, 2019 Meeting                              | 1-1  |
| 2. Public Involvement  | Oral |
| 3. Chair's/Executive Director's Report                             | Oral |
| 4. Action Items  |      |
| a. Procurements:   |      |
| i. PNC Bank Depository Agreement and Collateralized Line of Credit | 4-1  |
| ii. NOACA Signal Timing and Optimization Program FY2020            | 4-7  |
| iii. NOACA Net Zero Cool Project                                   | 4-9  |
| b. Project Funding Requests:                                       |      |
| i. LAK – Stevens Boulevard, Village of Lakeline                    | 4-11 |
| ii. E 66th Street Corridor TLCI Plan                               | 4-15 |
| 5. Presentations/Discussion Items                                  |      |
| a. Future Action   |      |
| i. None  |      |
| b. Information   |      |
| i. None  |      |
| 6. Reports/Updates   |      |
| a. Financial Report  | 6-1  |
| b. Capital Programs Performance Measures Report                    | 6-4  |
| 7. Old Business  |      |
| 8. New Business  |      |
| 9. Adjourn   |      |

Next Regular Meeting: ***Friday, February 14, 2020 at 8:30 a.m.***  
***NOACA Offices, 1299 Superior Avenue in Cleveland, Ohio***



**Agenda Item**  
**No. 1**

**MINUTES**





## **Finance and Audit Committee Meeting**

August 23, 2019

NOACA Offices

1299 Superior Avenue, Cleveland, Ohio 44114

**Present:** Please see the attached attendance record.

Mayor Kirsten Holzheimer Gail convened the Finance and Audit Committee meeting at 8:32 a.m.

### **Meeting Minutes**

A motion was made by Mayor John Hunter to approve the minutes of the Finance and Audit Committee meeting held on May 10, 2019. The motion was seconded by Mr. Michael Dever. The motion passed by voice vote.

### **Public Comments**

No public comments were made at this meeting.

### **Executive Director's Report**

Ms. Grace Gallucci reported on the following:

- The U.S. House approved \$5 million for future Hyperloop planning oversight.
- NOACA received an INFRA grant in the amount of \$9.02 million from the United States Department of Transportation (USDOT) for the Irishtown Bend project.
- The NOACA Annual Meeting will be held at the Global Center for Health Innovation on September 13, 2019.
- ODOT is accepting applications for the Ohio Bridge Partnership Program (OBPP) from September 1 - October 15, 2019; up to \$1 million is available per project for SFY 2022-2023.

### **ACTION ITEMS**

#### **NOACA SFY 2021-2024 TIP Financials**

Mr. Randy Lane stated that NOACA is in the process of developing its SFY 2021-2024 Transportation Improvement Program (TIP), which will contain road, bridge, public transit and other transportation projects scheduled for implementation with federal funding during that period. He noted that projects in the TIP are supported by federal funds from ODOT, transit agencies and NOACA. Mr. Lane reviewed the following:

- Draft SFY 2021-2024 TIP program

- Financial assumptions
- STBG Program Summary that has a total estimated budget of \$155,600,578
- TA Program Summary that has a total estimated budget of \$14,397,454
- Statewide CMAQ Program summary that has a total estimated budget of \$68,956,469

Mr. Lane mentioned that additional funding that may become available will be prioritized in consideration of NOACA's hierarchy of approaches.

Mr. Lane reviewed the estimated debt service in the SFY 2021-2024 TIP. He noted that by SFY 2024, the debt service will have a balance of \$11,600,363. He reminded the Committee that NOACA created a policy that limits existing and planned debt service to no more than 50%, and for the draft SFY 2021-2024 TIP, the debt service will be as follows: STBG - \$12.9 million (35%) peak in SFY 2020 and CMAQ - \$2.3 million (14%) peak in SFY 2032.

Mr. Lane stated that new project recommendations for NOACA administered funds will be presented to the Board for approval on September 13, 2019. The final TIP, inclusive of both NOACA and other agency funded projects will be presented to the Planning and Programming Committee for recommendation on January 17, 2020, and then to the Board for approval on March 13, 2020.

A motion was made by Mayor Hunter to recommend the SFY 2021-2024 TIP financials to the Executive Committee. The motion was seconded by Mr. Dever.

Mr. Dever asked Mr. Lane to comment on the Thrive 105 Corridor improvements. Mr. Lane stated that the Thrive 105 project was originally studied using TLCI funds from NOACA and an USDOT TIGER Grant through the City of Cleveland. Mr. Lane said the project will look at establishing a prioritized bus network through East 105<sup>th</sup> Street to East 93<sup>rd</sup> Street; capitalizing on Opportunity Corridor investments; improving the multimodal transportation system; reviving the transportation corridor; stimulating the neighborhood; and capitalizing on investments adjacent to the street network.

Ms. Gallucci stated that the Thrive 105 project is bus rapid transit (BRT) light.

Mayor Hunter asked if the Finance and Audit Committee approves the TIP financials will that prevent sponsors from being able to make changes to their projects.

Ms. Gallucci said no. She noted that if there is an error on the project list or a project does not need funding, it will be taken off the list and another project would be elevated on the list. She stated that the action requested at this meeting is primarily around funding and not the projects.

Ms. Gallucci stated that the Executive Committee will be informed that staff is still working on funding for some of the projects on the list.

The Finance and Audit Committee voted and the motion passed by voice vote.

### **SFY 2019 Overall Work Program (OWP) and Budget Completion Report**

Ms. Daila Shimek gave an overview of NOACA's OWP and Budget Completion Report that includes 31 projects (completed and ongoing) and consists of 114 work products and tasks. She stated that approximately 83% of NOACA's budget has been expended and noted that pending Board approval, the report will be sent to ODOT and the appropriate federal agencies for review.



A motion was made by Commissioner Hutson to recommend the SFY 2019 OWP and Budget Completion Report to the Executive Committee. The motion was seconded by Mayor Michael Gammella. The motion passed by voice vote.

### **Great Lakes Hyperloop Contract Amendment**

Ms. Kathy Sarli provided background on the Great Lakes Hyperloop contract with Transportation Economics & Management Systems, Inc. (TEMS). She mentioned that the Board passed a resolution that amended the contract for the extension of the corridor from Youngstown to Pittsburgh. She mentioned that since the last Finance and Audit Committee meeting, NOACA received a grant from the Richard King Mellon Foundation in the amount of \$100,000 that will be used to do a more detailed analysis of the entire corridor.

Ms. Sarli stated that the budget for the Great Lakes Hyperloop contract needs to be amended from \$650,000 to \$750,000; the TEMS contract needs to be amended in the amount of \$84,600; and the contract date needs to be extended to December 31, 2019.

A motion was made by Mayor Hunter to recommend the Great Lakes Hyperloop Contract amendment to the Executive Committee. The motion was seconded by Mayor Gammella. The motion passed by voice vote.

Mayor Hunter asked if NOACA's investment is \$35,393 or \$84,600. Ms. Gallucci said \$35,393 and noted that \$84,600 is coming from the grant.

## **PRESENTATION / DISCUSSION ITEMS**

### **State Fiscal Year 2019 Capital Programs Performance**

Mr. Lane stated that NOACA receives a total of \$52.3 million annually from the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) for its programs.

Mr. Lane reviewed the following:

- 2019 Capital Programs Financial Summary
- FHWA administered funding - a total of \$73.3 million; encumbered - \$63.9 million (87%); and carry forward to SFY 2019 - \$9.4 million (13%)
- Carry forward history
- FTA administered funding
- Accomplishments (SIB / other debt service paid down - \$4.7 million and projects awarded to contract)
- Capital programs performance measures

Mr. Lane stated that staff will continue to work with the sponsors to ensure projects are programmed in SFY quarters 1-3; react to program changes in quarter 4; continue to review project estimates at the programming level and throughout development; and identify and advance ready projects.

Mr. Bruce Landeg asked if funds will go back to the entire NOACA region if a program comes in under budget. Mr. Lane said the funds would come back to the NOACA program and the Board would decide how the money would be used when recommendations are presented for the next TIP. Mr. Lane noted that funds are not retained by the community for another project.

Ms. Gallucci stated that staff will need to rethink NOACA's current process. She said the way the next TIP is developed, staff would utilize some targets by county that would allow certain projects to get approved. She stated that if projects come in under budget, it would not be fair to make those funds available for the entire region, so staff will need to be cognizant of what projects are put in the TIP under that approach. She said if a project comes in under budget, another project in that same community would move up on the list.

### **Reports / Updates**

The financial report and Capital Programs Performance Measures Report were included in the meeting packet.

### **Old Business**

No old business was discussed at this meeting.

### **New Business**

No new business was discussed at this meeting.

### **Adjournment**

Mayor Holzheimer Gail stated that the next Finance and Audit Committee meeting will be held at the NOACA offices on November 8, 2019 at 8:30 a.m. There being no further business, the meeting was adjourned at 9:20 a.m.



## Finance and Audit Committee 2019 Attendance Record

MEETING DATES	2/8/19	5/10/19	8/23/19	11/8/19
Michael Dylan Brennan (Jeffrey Pokorny, Alternate)	X	X	A	
Armond Budish (Mike Foley, Alternate)	A	A	A	
Michael Dever (Nichole English, Alternate)	A	A	X	
James W. Dvorak (Nicholas Gorris, Alternate)	X	X	X	
William Friedman (Linda Sternheimer, Alternate)	A	A	A	
Kirsten Holzheimer Gail (Dan Knecht, Alternate)	X		X	
Michael D. Gammella (Anthony D'Amico, Alternate)	A	X	X	
James R. Gills (Bruce Landeg, Alternate)		X	A	
John D. Hunter (Bryan K. Jensen, Alternate)	X	X	X	
William Hutson (Patricia Geissman)			X	
Valarie J. McCall (Frank Jackson, Alternate)		X		
Chase M. Ritenauer (Dale Vandersommen, Alternate)	A	X	A	
<b>TOTAL MEMBERS PRESENT:</b>	<b>9</b>	<b>10</b>	<b>11</b>	

X=Member present

A=Alternate



**NOACA Finance and Audit Committee Meeting**  
**August 23, 2019, 8:30 a.m.**

**Sign-in Sheet**

MEMBER	SIGNATURE
Michael Dylan Brennan (Jeffrey Pokorny, Alternate)	<i>Mike Pokorny</i>
Armond Budish (Mike Foley, Alternate)	<i>Mike Foley</i>
Michael Dever (Nichole English, Alternate)	<i>Michael Dever</i>
James W. Dvorak (Nicholas Gorris, Alternate)	<i>James W. Dvorak</i>
William D. Friedman (Linda Sternheimer, Alternate)	<i>William D. Friedman</i>
Kirsten Holzheimer Gail (Dan Knecht, Alternate)	<i>Kirsten Holzheimer Gail</i>
Michael D. Gammella (Anthony D'Amico, Alternate)	<i>Michael D. Gammella</i>
James Gills (Bruce Landeg, Alternate)	<i>Bruce Landeg</i>
John D. Hunter (Bryan K. Jensen, Alternate)	<i>John D. Hunter</i>
William Hutson (Patricia Geissman, Alternate)	<i>William Hutson</i>
Joseph Koziura (Dale Vandersommen, Alternate)	<i>Joseph Koziura</i>
Valarie J. McCall (Frank Jackson, Alternate)	

**PUBLIC INVOLVEMENT**



**Agenda Item  
No. 3**

**CHAIR'S/EXECUTIVE DIRECTOR'S REPORT**





**Agenda Item**  
**No. 4**

**ACTION ITEMS**





## **NORTHEAST OHIO AREAWIDE COORDINATING AGENCY**

### **MEMORANDUM**

**TO:** NOACA Finance and Audit Committee

**FROM:** Grace Gallucci, Executive Director

**DATE:** November 1, 2019

**RE:** **PNC Bank Depository Agreement and Collateralized Line of Credit**

#### **ACTION REQUESTED**

The Committee is asked to consider recommending this item to the Executive Committee for placement on the Board of Director's December 2019 agenda. Specifically, it would authorize the Executive Director to sign a depository agreement with PNC Bank, National Association ("PNC Bank") which has committed to provide a revolving line of credit to NOACA secured by local revenues and other charges for services pending PNC Bank credit approval.

#### **BACKGROUND/JUSTIFICATION FOR CURRENT ACTION**

The Board approved the procurement of a revolving line of credit and an associated policy at the March 2019 Board meeting (Resolution 2019-011) following an extended Federal government shutdown (see attached policy). According to the policy, any collateral must be approved by the Finance and Audit Committee and the Board of Directors.

Currently, NOACA's bank accounts are with Citizens Bank, N.A. ("Citizens Bank") However, Citizens Bank was unable to offer NOACA a revolving line of credit. Consequently, NOACA issued an RFP for primary banking services and a revolving line of credit on June 5<sup>th</sup>, 2019. The July 6<sup>th</sup> deadline was extended to July 31<sup>st</sup> for those institutions expressing interest. The RFP was advertised on the agency's website and mailed to Huntington National Bank, Fifth Third Bank, and PNC Bank.

The only responding bank was PNC Bank, which has provided a term sheet offering a revolving line of credit for \$750,000 secured by local revenues and other charges. PNC Bank is a local institution with an outstanding CRA rating from the Office of the Comptroller of the Currency in 2018. The bank's senior debt is rated as follows:

PNC Bank's Credit Ratings- Senior Debt		TD Ameritrade Version
Moody's	A2	Good
Standard and Poor's	A	Good
Fitch	A+	
DBRS/Morningstar	AA (low)	Superior

PNC Bank is offering an earnings credit of 1.0% to offset the cost of their services and deposit collateral through the Ohio Pooled Collateral System in accordance with Section 135.182 of the Ohio Revised Code. The depository agreement is attached.

**FINANCIAL IMPACT**

The financial impact of changing bank depository accounts would be negligible monthly charges based on an earnings credit of 1.0% and the current schedule of fees for services. The cost of the revolving line of credit would be documentation fees of approximately \$1,000.

**CONCLUSION/NEXT STEPS**

Pending Board approval, NOACA will transfer its primary bank accounts to PNC Bank contingent upon credit approval of the revolving line of credit by PNC Bank and determination of acceptable terms in the loan agreement by NOACA's Executive Director.

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## **NOACA Revolving Line of Credit Policy**

### **PURPOSE**

- The purpose of the revolving line of credit is to provide a contingency plan in the event of an extended federal government shutdown or other unusual or unexpected event that impairs liquidity.
- The Policy provides the Executive Director the ability to obtain the revolving line of credit based on the acceptability of the terms.

### **AUTHORIZATION**

- The Executive Director can authorize the opening and closing of a revolving line of credit, which would preferably be from a commercial bank, in the amount not to exceed \$1,000,000.
- If collateral is required to secure the revolving line of credit, the options for said collateral must be approved by the Finance and Audit Committee and Board of Directors.

### **DRAWDOWNS**

- Drawdowns can only be executed when the Agency has inadequate liquidity for operating purposes (payroll and/or to pay vendors and contractors) where non-payment would be detrimental to the Agency
- The amount of a drawdown must be limited to the amount required to meet the above-described obligations.
- Drawdowns require signatures of the following individuals:
  - NOACA Board President
  - Executive Director and
  - Comptroller(In the event that the Executive Director or Comptroller is not available, the Director of Administrative Services can serve as one of the signers.)
- The drawdowns can only be deposited electronically directly from the bank into the Agency's primary checking account.
- The drawdowns shall not exceed \$100,000 per week.

### **REPAYMENT**

- The loan must be repaid within 5 business days to the extent possible once the replacement funds (grant or other reimbursements) have been received.

The source of repayment is the grant reimbursement in the event of a Federal government shutdown.

## **DEPOSITORY AGREEMENT**

### **(OHIO PUBLIC FUNDS)**

THIS DEPOSITORY AGREEMENT is made by and between **PNC BANK, NATIONAL ASSOCIATION**, a national banking association under the laws of the United States, located and doing business within the State of Ohio (“**Bank**”) and \_\_\_\_\_, a public body, chartered under the laws of the State of Ohio (“**Depositor**”). This Depository Agreement replaces all prior depository agreements between Bank and Depositor to the extent they are inconsistent with the provisions below. The Bank reserves the right to rescind this Agreement if the Depositor is not a public body, chartered under the laws of the State of Ohio.

1. Depositor, by proper action of its governing body, hereby designates Bank as a public depository for Depositor’s active, interim or inactive deposits during the period beginning on the later of the execution dates on this Depository Agreement and continuing until the Designation Date, defined below, as such date may be extended by the Depositor. This Agreement shall continue in effect until all accounts are closed pursuant to the documentation applicable to the accounts.
2. The Bank will receive funds for deposit from the Depositor and deposit said funds into the account(s) designated by the Depositor and agreed to by Bank. The Bank will furnish to the Depositor a monthly statement during any time that the Bank holds any active, interim or inactive deposits, showing the activity and balance of funds on deposit in any account for which such a statement is customarily issued.
3. The Bank is required by the laws of the State of Ohio to collateralize the uninsured public deposits of the Depositor and has elected to do so through the Ohio Pooled Collateral System (OPCS) as prescribed in section 135.182 of the Ohio Revised Code (ORC). The Bank currently collateralizes the Depositor’s funds at one hundred and five percent. Effective on or about December 31, 2017, the collateral floor rate will change to one hundred and two percent (102%). The Bank hereby notifies the Depositor that it will apply for a reduced collateral floor rate for public deposits under ORC Section 135.182(B)(1)(b) and the Treasurer will thereafter assign a new collateral floor rate. The Depositor will be notified of any changes to the collateral rate on its deposits on the OPCS portal. The parties acknowledge and agree that collateral rates are negotiable, subject to the collateral floor rate established by the Treasurer. The Bank may choose to exit the OPCS at any time upon notice to the Depositor. In the event of such notice, the Bank will separately collateralize the deposits in accordance with the ORC.
4. The Bank shall be required to furnish collateral to cover only those deposits that are in excess of the respective portions insured by the Federal Deposit Insurance Corporation, or any other corporation hereafter organized by the United States, the State of Ohio, or agency thereof for the purpose of insuring deposits, as calculated for collateral purposes by the Ohio State Treasurer.

5. The Depositor acknowledges and agrees that the OPCS requires the Bank to report the amount of public deposits and certain confidential information of the Depositor daily via a secure file transfer protocol (SFTP) connection to the Treasurer's office. The Depositor agrees that the Bank has no responsibility for the Treasurer's maintenance or use of this confidential information and the Depositor releases the Bank from any or all claims related thereto. The Depositor shall be responsible for reviewing and monitoring the reports posted on the OPCS portal, verifying the accuracy of the reports and reporting any discrepancies to the Bank.
6. Depositor agrees to be subject to the rules governing the accounts in which the Depositor's active, interim and inactive deposits are deposited and to provide the Bank the names and signatures of those persons authorized to execute drafts on and make withdrawals from the account(s) and to provide documentation evidencing such authority as the Bank may request.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, intending to be bound thereby.

\_\_\_\_\_

**PNC Bank, National Association**

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

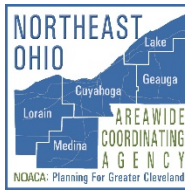
Date: \_\_\_\_\_

Date: \_\_\_\_\_

By signing above, Depositor certifies that PNC Bank, National Association has been designated a depository of the Depositor until \_\_\_\_\_ ("Designation Date").







## **NORTHEAST OHIO AREAWIDE COORDINATING AGENCY**

### **MEMORANDUM**

**TO:** NOACA Finance and Audit Committee

**FROM:** Grace Gallucci, Executive Director

**DATE:** November 1, 2019

**RE:** **Agency Procurement - NOACA Signal Timing & Optimization Program FY2020**

#### **ACTION REQUESTED**

The Committee is asked to consider recommending this item to the Executive Committee for placement on the Board of Directors' December 2019 agenda. Specifically, it would award a contract to Albeck Gerken Inc., Transportation Engineers for NOACA's Signal Timing & Optimization Program in the not to exceed amount of \$439,438.

#### **BACKGROUND/JUSTIFICATION FOR CURRENT ACTION**

Today, there are more than 272,000 traffic signals in the United States. They play an important role in the transportation network and are a source of significant frustration for the public when not operated efficiently. As the era of freeway building draws to a close, urban arterials are being called upon to carry more users than ever before at a time when the users of these facilities are growing more complex (older drivers, more distractions, larger vehicles, etc.) and the demand for such use continues to outpace transportation supply. Traffic signal timing efficiency degrades over time as volume patterns and magnitude change, development occurs, or infrastructure changes. Outdated or poor traffic signal timing accounts for a significant portion of traffic delay on urban arterials, and traffic signal optimization is one of the most cost effective ways to reduce emissions, improve mobility, reduce delays and improve corridor safety.

NOACA's approach to address the issues related to inefficient traffic signal timing was to develop a Signal Timing Optimization Program (STOP), and in June 2016, the Board of Directors adopted resolution 2016-015, which approved a consultant firm to develop a pilot STOP project for two corridors.

STOP projects in fiscal years 2017, 2018, and 2019 have been successfully implemented. The STOP for fiscal years 2020 and 2021 proposes to continue retiming regionally-significant corridors to provide substantial benefits.

NOACA released a Request for Proposals (RFP) on September 9, 2019 with proposals due on October 8, 2019; six proposals were received. Vendors were scored on three criteria: project approach, experience and qualifications. After evaluating the proposals, the NOACA review team determined that the firm with the highest scores was Albeck Gerken, Inc.

As for all of the recommended corridors, staff developed recommendations for project corridors utilizing a transportation operations and emissions reduction framework and criteria. The criteria, as well as the potential corridors were presented to the Safety and Operations Council, the Air Quality Subcommittee and the Transportation Subcommittee for information and input.

The FY2020-FY2021 STOP project consists of Part I and Part II with Part II being “if authorized”.

Part I consists of two corridors:

- Bagley Road (from Lindbergh Rd. to Pearl Rd. 3.56 miles, 25 signalized intersections) in the cities of Berea and Middleburgh Heights.
- Ridge Road (from Pearl Rd. to Denison Ave., 3.47 miles, 20 signalized intersections) in the cities of Parma, Brooklyn, and Cleveland.

Part II, if authorized, will consist of two corridors/grid of similar length and number of intersections, with the scope to be developed by NOACA, to begin after Part I has been finalized.

NOACA will administer and manage the STOP program, but the projects will not be implemented without the approval of the agencies that own, operate and/or maintain the project signals. NOACA will work closely with the project sponsors and consultants to successfully complete the STOP projects.

### **FINANCIAL IMPACT**

Part I of the proposed contract will be executed for one year commencing on or around January 2020 and ending on or around March 2021; at a cost not to exceed \$439,438. \$440,000 was budgeted in the FY2020 OWP for a consultant. Part II, if authorized, will also take place over approximately one year after Part I is finalized at a cost negotiated by NOACA. This project is funded through NOACA’s CMAQ funds.

### **CONCLUSION/NEXT STEPS**

Pending Board approval, staff will work with the local communities involved and the consultant team to implement the signal timing optimization program.

GG/sm/8302c



## **NORTHEAST OHIO AREA WIDE COORDINATING AGENCY**

### **MEMORANDUM**

**TO:** NOACA Finance and Audit Committee

**FROM:** Grace Gallucci, Executive Director

**DATE:** November 1, 2019

**RE:** **Agency Procurement – NOACA Net Zero Cool Project**

#### **ACTION REQUESTED**

The Committee is asked to consider recommending this item to the Executive Committee for placement on the Board of Directors' December 2019 agenda. Specifically, it would award a contract to F. Buddie Contracting, Ltd. for NOACA's Net Zero Cool Project (Design Build) in an amount not to exceed \$888,000, which reflects current estimates of total project costs, pending appropriate funding.

#### **BACKGROUND/JUSTIFICATION FOR CURRENT ACTION**

The Northeast Ohio Regional Sewer District (NEORS D) supports the strategic implementation and long-term maintenance of green infrastructure projects. NEORS D funds green infrastructure projects within the combined sewer area through its Green Infrastructure Grants (GIG) Program. Green infrastructure protects, preserves, enhances, and restores natural hydrologic function. Such stormwater control measures (SCMs), increase resiliency of infrastructure; reduce stress on wet weather drainage and collection systems; and support healthy environments and strong communities.

The GIG Program is open to member communities, governmental entities, non-profit organizations 501(c)(3), or businesses working in partnership with their community. These entities can propose water resource projects that keep stormwater out of the combined sewer system and reduce capacity overflow problems..

The objectives and outcomes for Net Zero Cool are embodied in its name. "Net Zero" refers to NOACA's ambition to capture stormwater runoff from its impervious surface area and eliminate its contribution to the combined wastewater/stormwater conveyance system. "Cool" refers to NOACA's ambition to showcase its green infrastructure elements with eye-catching, water-themed design; mural and green wall on the sides of the building; educational placards along the sidewalk, adjacent to a proposed bioretention system and outdoor classroom space on the green roof for lectures, tours and public meetings. Net Zero Cool would make NOACA a true vanguard for NEORS D-funded green infrastructure implementation; a marquee to illuminate the tremendous benefits of green infrastructure in Downtown Cleveland.

On September 6, NOACA submitted its proposal for "Net Zero Cool," a three-phase, comprehensive suite of measures to reduce stormwater runoff from its 100% impervious downtown Cleveland site and mitigate runoff's harmful impacts on the Frontal Lake Erie-Doan Brook Watershed.

Project phases submitted to NEORSD include (with engineer's estimates of build costs):

**PHASE I (BUILDING) (\$360,000)**

Project A (One-story extensive green roof system with usable outdoor space accessible via second floor) - \$210,000

Project B (Roof water collection with bioretention) - \$150,000

**PHASE II (PARKING LOT) (\$350,000)**

Project A (Parking lot porous paving/center drive aisle) – \$140,000

Project B (Carport structures with extensive green roof) - \$210,000

**PHASE III (WALLS: "THE RIBBON THAT WRAPS THE PACKAGE") (\$95,000)**

Project A (One-story building extensive green wall system) - \$35,000

Project B (Three-story building mural) - \$60,000

The total project cost is estimated at \$900,000. In addition to the \$805,000 of estimated build costs outlined above, NOACA estimates \$83,000 in design costs and has already spent \$12,000 on a design/engineering team to explore opportunities through site visits, preliminary design proposals and stormwater modeling. NOACA committed to a 20% match in its application to NEORSD, or \$180,000, while NOACA requested \$720,000 in funding from NEORSD.

NOACA released a Request for Proposals (RFP) to hire a design-build firm, conditional on NEORSD (and any potential future) funding, on September 21<sup>st</sup>, 2019. Proposals were due on October 21<sup>st</sup>, 2019; NOACA received two proposals. Proposals were evaluated on their qualifications and their technical proposals. After evaluation, the firm with the highest scores was F. Buddie Contracting, Ltd.

## **ABOUT THE VENDOR**

F. Buddie Contracting, Ltd. is a certified CSB (Cleveland Small Business) and a Cuyahoga County SBE (Small Business Enterprise) located in the City of Cleveland. They have over 55 years in general contracting experience. Their design team consists of Osborn Engineering; headquartered in Cleveland and MKSK; headquartered in Columbus, Ohio.

## **TIMING**

NOACA submitted a three phase grant application on September 6<sup>th</sup>, 2019. The NEORSD will announce GIG awards in November and funded projects will begin January 1, 2020. Pending the GIG award amount, NOACA may pursue other funding for the potentially unfunded portions of this project.

## **FINANCIAL IMPACT**

The proposed contract will be executed for one year commencing on or around January 2020 and ending on or around March 2021; at an amount currently estimated not to exceed \$888,000. This action would also amend the NOACA Budget and OWP as appropriate, pending NEORSD award and other potential funding.

## **CONCLUSION/NEXT STEPS**

Pending Board approval, staff will work with the NEORSD, potential future funders involved, and the design build team to design and build all or parts of the NOACA Net Cool Zero Project.

GG/sm/8327c



## **NORTHEAST OHIO AREAWIDE COORDINATING AGENCY**

### **MEMORANDUM**

**TO:** NOACA Finance and Audit Committee

**FROM:** Grace Gallucci, Executive Director

**DATE:** November 1, 2019

**RE:** **Project Funding Requests – LAK – Stevens Boulevard, Village of Lakeline**

#### **ACTION REQUESTED**

The Committee is asked to consider recommending this item to the Executive Committee for placement on the Board of Director's December 2019 agenda. Specifically, it would recommend additional funding for the City of Eastlake's Stevens Boulevard project to expand the project area into the adjacent Village of Lakeline.

#### **BACKGROUND/JUSTIFICATION**

The City of Eastlake Stevens Boulevard Resurfacing Project is programmed in SFY 2020 of the current 2018-2021 Transportation Improvement Program (TIP), with a NOACA commitment of \$2,022,300 of Surface Transportation Block Grant (STBG) program funds. The current project scope and funding commitment addresses the deficient pavement in the City of Eastlake from SR 91 to the north at the Eastlake/Village of Lakeline corporation line. The project was identified as a need for SFY 2018-2021 TIP funding through the NOACA Transportation Asset Management (TAM) plan and tool. The tool projects pavement deficiencies and recommends corrective work based on ODOT Pavement Condition Ratings (PCR) for each segment of the federal-aid roadway network. Roadway segments that are less than .5 lane miles are either filtered out or adjoined to larger adjacent segments if they are alike in both condition and recommended corrective work.

The Village of Lakeline maintains approximately .08 miles (400 feet) of Stevens Boulevard, from the current project limits at the Eastlake corporation line to Lakeshore Boulevard (SR 283). The PCR for this segment is 63, matching the average PCR and recommended resurfacing of the Eastlake segment that was prioritized for funding (see attached map). However, in development of the 2018-2021 TIP the Lakeline segment was inadvertently filtered out for funding consideration because of its length. Rather, the segment should have been adjoined to the Eastlake Stevens Boulevard segment and prioritized for funding. NOACA staff recently became aware of this oversight in discussion with both the Village of Lakeline and the City of Eastlake.

As such, the Village of Lakeline is requesting consideration for a NOACA STBG program funding commitment of \$176,000 to expand the project across communities to provide maximum project benefit. A local match of \$44,000 (20%) has been committed from Lakeline for a total cost of \$220,000. Pending approval of funding, the City of Eastlake has agreed to incorporate the segment into its design plans and its funding agreement with ODOT. The projects is currently scheduled for sale in early 2020.

### **FINANCIAL IMPACT**

The request would increase the NOACA funding commitment to the City of Eastlake Stevens Boulevard project by \$176,000 from \$2,022,300 to \$2,198,300. The increase would be funded utilizing existing savings from STBG projects coming in under estimate. Therefore, there is no impact to other planned and programmed TIP projects.

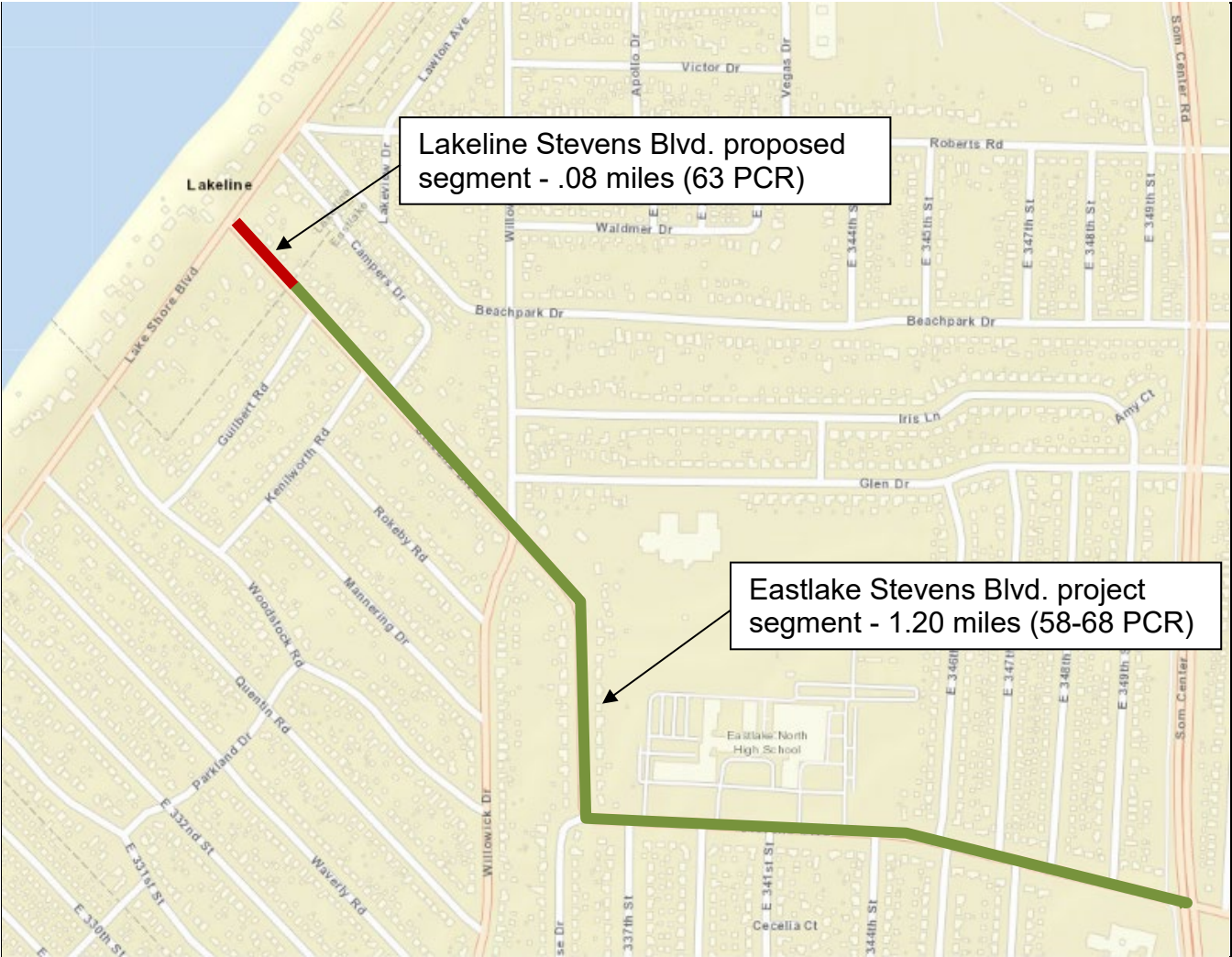
### **CONCLUSION/NEXT STEPS**

Pending Board approval, the TIP will be amended to incorporate the additional scope and funding for the project.

Attachment: Map of Existing and Requested Funding for Stevens Boulevard

GG/rl/8303c

**ATTACHMENT: MAP OF EXISTING AND REQUESTED STEVENS BOULEVARD PROJECT**









## **NORTHEAST OHIO AREAWIDE COORDINATING AGENCY**

### **MEMORANDUM**

**TO:** NOACA Finance and Audit Committee

**FROM:** Grace Gallucci, Executive Director

**DATE:** November 1, 2019

**RE:** **E 66<sup>th</sup> Street Corridor Transportation for Livable Communities Initiative (TLCI) Plan**

#### **ACTION REQUESTED**

The Committee is asked to consider recommending this item to the Executive Committee for placement on the Board of Directors' December 2019 agenda. Specifically, this would add the E 66th Street Corridor Update Project to the 2020 TLCI program and amend the fiscal year 2020 budget in the amount of \$150,000; pending Cleveland Foundation Grant award.

#### **BACKGROUND/JUSTIFICATION FOR CURRENT ACTION**

In 2010, NOACA approved funding for the League Park Neighborhood District Plan, sponsored by the City of Cleveland, through the Transportation for Livable Communities Initiative (TLCI) program. The study was completed in 2012. The study established a strategy for future investment in the Hough neighborhood, specifically the League Park District.

Since the completion of the original plan in 2012, there have been significant undertakings within and beyond the immediate study area. In addition to investments made by the residents and community stakeholders, several recent catalytic announcements have tipped the momentum toward the need to revisit the plan for a comprehensive update, including:

- the recent decision by The Cleveland Foundation to move its headquarters to E. 66th and Euclid;
- the new location of the Hough Branch of the Cleveland Public Library at the corner of E. 66th and Lexington;
- the development plans for Allen Estates single and multi-family housing; and the plans for an innovation district between Euclid and Chester along E. 66th Street.

An immediate update to the original plan, both in content and expanded geography, is needed to best guide known and planned future investments in the corridor. The study update will address the following areas of focus:

- Conduct new community outreach and involvement;
- Expand the original study area along E. 66th Street from Chester to Euclid;
- Integrate the updated land uses adjacent to the E. 66th Corridor study area;
- Consider the planned Cleveland Foundation headquarters, Innovation District campus plans, proposed housing developments, and updated vacant land mapping;

- Align with existing or identified future planning efforts;
- Update of infrastructure plans providing for green and complete streets to provide seamless transportation access for all modes;
- Update the implementation schedule and general cost estimates.

#### **FINANCIAL IMPACT**

The total cost of the project is \$150,000, pending Cleveland Foundation Grant award. This action will not impact any funding for the current TLCI solicitation.

#### **CONCLUSION/NEXT STEPS**

With Board approval, the budget will be amended and staff will work with the project sponsor to program and develop the project.

GG/ks/8304c

**PRESENTATIONS/DISCUSSION ITEMS**



**Agenda Item  
No. 6**

**REPORTS/UPDATES**



NORTHEAST OHIO AREA WIDE COORDINATING AGENCY

FISCAL YEAR 2020 - FINANCIAL INFORMATION (As of October 31, 2019)

	FISCAL 2020 BUDGET	REVISIONS	BUDGET AS REVISED (A)	EXPENDED THROUGH 10/31/19 (B)	BUDGET REMAINING (A-B)	C TARGET BUDGET (YTD) (A/12)*4 mo.	PERCENTAGE OF TARGET (YTD) (B/C)	PERCENTAGE OF ANNUAL BUDGET (B/A)
<b>STAFFING</b>								
SALARIES	\$ 3,880,653		\$ 3,880,653	\$ 1,125,370	\$ 2,755,283	\$ 1,293,551	87%	29%
FRINGE BENEFITS	1,390,850		1,390,850	376,682	1,014,168	463,617	81%	27%
TRAINING & PROFESSIONAL DUES	81,165	0	81,165	13,237	67,928	27,055	49%	16%
	\$ 5,352,668	\$ 0	\$ 5,352,668	\$ 1,515,288	\$ 3,837,380	\$ 1,784,223	85%	28%
<b>CONSULTING SERVICES</b>								
CONSULTANTS	\$ 1,910,862	\$ 100,000	\$ 2,010,862	\$ 352,841	\$ 1,658,021	\$ 670,287	53%	18%
LEGAL SERVICES	25,000		25,000	0	25,000	8,333	0%	0%
AUDIT	18,000		18,000	0	18,000	6,000	0%	0%
	\$ 1,953,862	\$ 100,000	\$ 2,053,862	\$ 352,841	\$ 1,701,021	\$ 684,621	52%	17%
<b>OTHER OPERATING EXPENSES</b>								
ADVERTISING/RECRUITING/SPONSORSHIPS	\$ 54,700		\$ 54,700	5,797	\$ 48,903	\$ 18,233	32%	11%
SUPPLIES	84,345	0	84,345	4,630	79,715	28,115	16%	5%
POSTAGE	4,250		4,250	15	4,236	1,417	1%	0%
MEETING COSTS	41,138		41,138	38,726	2,412	13,713	282%	94%
SOFTWARE	134,558		134,558	35,751	98,807	44,853	80%	27%
OUTSIDE REPRODUCTION	58,500		58,500	5,411	53,089	19,500	28%	9%
PUBLICATIONS	500		500	69	431	167	41%	14%
ACCOUNTING SERVICES	3,200		3,200	408	2,792	1,067	38%	13%
TRAVEL	65,490		65,490	8,413	57,077	21,830	39%	13%
REGIONAL COUNCIL DUES/MEMBERSHIPS	36,300		36,300	11,725	24,575	12,100	97%	32%
MISCELLANEOUS	19,400		19,400	1,580	17,820	6,467	24%	8%
INSURANCE	24,000	0	24,000	23,910	90	8,000	299%	100%
	\$ 526,381	\$ 0	\$ 526,381	\$ 136,434	\$ 389,947	\$ 175,460	78%	26%
<b>OCCUPANCY &amp; EQUIPMENT</b>								
FURNITURE & EQUIPMENT/HARDWARE	\$ 94,505	\$ 0	\$ 94,505	\$ 20,402	\$ 74,103	\$ 31,502	65%	22%
CAPITAL OUTLAY	62,500	0	62,500	0	62,500	20,833	0%	0%
UTILITIES	71,500		71,500	18,348	53,152	23,833	77%	26%
BUILDING-RELATED EXPENSES	47,250		47,250	12,680	34,570	15,750	81%	27%
PARKING	1,500		1,500	584	916	500	117%	39%
TELEPHONE	18,300		18,300	4,613	13,687	6,100	76%	25%
EQUIPMENT LEASING	3,250		3,250	488	2,762	1,083	45%	15%
MAINTENANCE & REPAIR - Equip	28,900		28,900	12,003	16,897	9,633	125%	42%
	\$ 327,705	\$ 0	\$ 327,705	\$ 69,117	\$ 258,588	\$ 109,235	63%	21%
<b>SUB-TOTAL</b>	<b>\$ 8,160,616</b>	<b>\$ 100,000</b>	<b>\$ 8,260,616</b>	<b>\$ 2,073,680</b>	<b>\$ 6,186,936</b>	<b>\$ 2,753,539</b>	<b>75%</b>	<b>25% *</b>
<b>PASS-THROUGH EXPENSES</b>								
TLCI - FUNDED	\$ 2,354,000		\$ 2,354,000	\$ 0	\$ 2,354,000	\$ 784,667	0%	0%
FTA - NEW FREEDOM/5310 PROGRAM	4,309,380		4,309,380	534,905	3,774,475	1,436,460	37%	12%
<b>SUB-TOTAL</b>	<b>6,663,380</b>		<b>6,663,380</b>	<b>534,905</b>	<b>6,128,475</b>	<b>2,221,127</b>	<b>24%</b>	<b>8%</b>
<b>TOTAL</b>	<b>\$ 14,823,996</b>	<b>\$ 100,000</b>	<b>\$ 14,923,996</b>	<b>\$ 2,608,585</b>	<b>\$ 12,315,411</b>	<b>\$ 4,974,665</b>	<b>52%</b>	<b>17% **</b>

\* The Agency has expended approximately 25 percent of the fiscal year 2020 operating budget.

\*\* The Agency has expended approximately 17 percent of the fiscal year 2020 budget.

NORTHEAST OHIO AREAWIDE COORDINATING AGENCY

CHANGE IN CASH POSITION OCTOBER 2019

<u>DESCRIPTION</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE</u>
BEGINNING BALANCE			\$ 166,983.67
FEDERAL TRANSIT AGENCY	190,632.00		
FREIGHT CONFERENCE	0.00		
NOACA DUES	15,849.00		
CLEVELAND FOUNDATION	0.00		
BWC REFUND	1,661.44		
ODOT/FHWA			
ENVIRONMENTAL PROGRAMS	400,372.71		
CRCPO	0.00		
ANNUAL MEETING	3,146.50		
INTEREST ON ACCOUNTS	0.00		
OHIO TURNPIKE	0.00		
TRANSFER FROM STAR OHIO	150,000.00		
TRANSFER FROM CITIZENS INTEREST CHECKING	400,000.00		
VENDORS		\$ 481,832.40	
PAYROLL		437,736.50	
TRANSFER TO TRISTATE CAPITAL - CDARS		0.00	
TRANSFER TO STARPLUS		0.00	
TRANSFER TO CITIZENS INTEREST CHECKING		250,000.00	
TRANSFER TO STAR OHIO		0.00	
ENDING BALANCE			\$ 159,076.42
TOTAL	<u>\$ 1,161,661.65</u>	<u>\$ 1,169,568.90</u>	

ADDITIONAL CASH BALANCES:

INVESTMENTS:

		<u>Return</u>
CITIZENS BANK MRA	\$ 5,000.00	0.00%
STAR OHIO	272,722.77	2.04%
STAR PLUS	941,309.91	2.04%
CITIZENS BANK INTEREST CHECKING	189,690.14	1.50%
TOTAL	<u>\$ 1,408,722.82</u>	

**GRAND TOTAL \$ 1,567,799.24**

Interest Earned in FY 2020 = \$ 5,179.55



**NOACA MEMBER DUES - RECEIVABLES**  
**As of October 31, 2019**

	<b>FISCAL YEAR 2020 DUES</b>		
	<b>Invoiced</b>	<b>Received</b>	<b>Receivable</b>
<b><u>CUYAHOGA COUNTY</u></b>			
Cuyahoga County	\$221,238	\$221,238	\$0
NEORS	46,576	46,576	0
GCRTA	46,576	46,576	0
Cleve-Cuy County Port Authority	46,576	46,576	0
City of Cleveland	68,559	68,559	0
Other Municipalities & Townships	152,680	142,474	10,206
<b>Total Cuyahoga County</b>	<b>\$582,205</b>	<b>\$571,999</b>	<b>\$10,206</b>
<b><u>LORAIN COUNTY</u></b>			
Lorain County Commissioners	\$56,194	\$56,194	\$0
Lorain County Engineers	19,188	19,188	0
Municipalities & Townships	61,677	55,554	6,123
<b>Total Lorain County</b>	<b>\$137,059</b>	<b>\$130,936</b>	<b>\$6,123</b>
<b><u>LAKE COUNTY</u></b>			
Lake County Commissioners	\$62,773	\$62,773	\$0
Lake County Engineers	20,924	20,924	0
Laketran	20,924	20,924	0
<b>Total Lake County</b>	<b>\$104,621</b>	<b>\$104,621</b>	<b>\$0</b>
<b><u>MEDINA COUNTY</u></b>			
Medina County Commissioners	\$78,378	\$78,378	\$0
<b><u>GEAUGA COUNTY</u></b>			
Geauga County Commissioners	\$21,242	\$21,242	\$0
Geauga County Engineers	12,745	12,745	0
Geauga County Sanitary Eng	8,497	8,497	0
<b>Total Geauga County</b>	<b>\$42,484</b>	<b>\$42,484</b>	<b>\$0</b>
<b>TOTAL FISCAL YEAR 2020</b>	<b>\$944,747</b>	<b>\$928,418</b>	<b>\$16,329</b>

	<b>FISCAL YEAR 2018 DUES</b>		
	<b>Invoiced</b>	<b>Received</b>	<b>Receivable</b>
Lorain County	\$200	\$200	\$0
<b>TOTAL FISCAL YEAR 2018</b>	<b>\$200</b>	<b>\$200</b>	<b>\$0</b>

Capital Programs Performance Measures										
Measure	Description	Target	Trends			SFY 2020				
			2017	2018	2019	Q1	Q2	Q3	Q4	Year To Date
Program Delivery	% On-Time Project Implementation	Projects awarded within 30 days of commitment date > 85%	92%	80%	93%	75%				75%
	% Program Balance	NOACA funds programmed in the 4th Quarter < 20%	39%	48%	56%	13%				13%
Funding Utilization	% Estimate Accuracy	Variance between estimate and implementation +/- 10%	-11%	-11%	-12%	12%				12%
	% Funds Encumbered	Amount of SFY budget encumbered and outstanding > 95%	94%	94%	87%	74%				74%

**Agenda Item**  
**No. 7**

**OLD BUSINESS**



**Agenda Item  
No. 8**

**NEW BUSINESS**



Agenda Item  
No. 9

**ADJOURN**

